



AGREEMENT

between the

CORNWALL BOARD OF EDUCATION

and the

CORNWALL CONSOLIDATED FACULTY ASSOCIATION

July 1, 2018 - June 30, 2020



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AGREEMENT

This Agreement is made and entered into on this ____ day of November, 2017, by and between the Cornwall Board of Education (hereinafter referred to as the "Board"), and the Cornwall Consolidated Faculty Association (hereinafter referred to as the "Association").

ARTICLE 1 RECOGNITION

- 1-1 The Board of recognizes the Association as the exclusive representative of all the certified professional employees of the Board, employed in positions requiring a teaching or special services certificate or durational shortage area permit, for the purpose of negotiations with respect to salaries and other conditions of employment about which either party wishes to negotiate pursuant to Connecticut General Statutes SS 10-153g. The Association recognizes the Board as the employer vested with the sole and exclusive powers and authorities to direct and administer the operation of the school district. The Board retains all rights as prescribed by law subject only to the limitations imposed by the language of this Agreement.
- 1-2 The Board reserves the right to themselves alone to establish policies and take administrative action as mandated under the statutes of the State of Connecticut.
- 1-3 The term "teacher" as used in this Agreement, except where otherwise specifically indicated, is considered to apply to all teachers described in Section 1-1 above and shall mean a person employed in a position requiring a certificate issued by the State Board of Education and included in the teachers unit as defined by Section 10-153 (b) of the Connecticut General Statutes.
- 1-4 The term "superintendent" as used in this agreement is considered to apply to the superintendent, assistant superintendent or anyone acting in that capacity.
- 1-5 "RSSC" shall mean Regional Schools Services Center.
- 1-6 "Length of Service" shall mean continuous employment with this Board of Education from the teacher's last date of hire.
- 1-7 "The Region" shall mean the seven school districts including the Housatonic Valley Regional High School, and the school districts located in the towns of Canaan, Cornwall, Kent, North Canaan, Salisbury and Sharon.

ARTICLE 2 NEGOTIATION

- 2-1 Matters Not Covered by Terms of the Agreement
- 2-1.1 The Board and the Association agree to abide by Connecticut General Statutes § 10-153f (e).
- 2-2 <u>Severability</u>
- 2-2.1 In the event that any provision or portion of this agreement is illegal or ruled invalid for any reason by an authority of established and competent legal jurisdiction, the balance and remainder of this agreement shall remain in full force and effect.
- 2-2.2 This Agreement shall not be altered, amended or changed except in writing after mutual agreement of the parties and after ratification by duly authorized groups and signed by the Board and the Association which writing shall be appended hereto and become a part hereof.

ARTICLE 3 GRIEVANCE PROCEDURE

3-1 Definitions

- A "grievance" shall mean a complaint by a teacher or a group of teachers or the Association covered by this Agreement that there has been to him/her or them an injury because of a violation or inequitable application of the terms of this Agreement, except that the term "grievance" shall not apply to (a) any matter for which a method of review is prescribed by law; (b) any rule or regulation of the State Commissioner of Education; (c) any by-law of the Board of Education; d) any matter which according to law is either beyond the scope of the Board authority or limited to unilateral action by the Board alone; (e) complaint of a non-tenured teacher which arises by reason of his/her not being re-employed; or (f) a complaint by any certified personnel occasioned by appointment to or lack of appointment to, retention in or lack of retention in any position for which tenure is either not possible or not required.
- 3-1.2 "Days" shall mean days when school is in session except during the period July 1 August 31 when "days" shall mean Monday, Tuesday, Wednesday, Thursday, Friday.
- 3-1.3 "Party in interest" shall mean the teacher or teachers making the complaint, including their designated representatives as provided herein.

- 3-1.4 "Forms" shall mean the appropriate forms as appended hereto in Appendix D.
- 3-2 Purpose
- 3-2.1 The purpose of this procedure is to secure, at the lowest possible administrative level, equitable solutions to the problems, which may occasionally arise affecting the welfare or working conditions of teachers. Both parties agree that these proceedings shall be kept as informal and confidential as may be appropriate at any level of the procedure.
- 3-2.2 Nothing herein contained shall be construed as limiting the right of any party in interest having a grievance or dispute to discuss the matter informally with any appropriate member of the administration.

3-3 Procedure

- 3-3.1 Since it is important that grievances be processed as rapidly as possible, the number of days indicated at each step shall be considered as a maximum and every effort shall be made to expedite the process. The time limits specified may, however, be extended by written agreement of the parties in interest.
- 3-3.2 In the event a grievance is filed on or after June 1, the time limits set forth herein shall be reduced so that the grievance procedure may be exhausted prior to the end of the school term or as soon thereafter as is practicable.
- 3-3.3 If the teacher(s) does not file a written grievance with his/her immediate supervisor within thirty (30) days after the teacher (s) knows or should have known of the act or condition on which the grievance is based, then the grievance shall be waived. A grievance filed with the Professional Rights and Responsibilities Committee must reach Level One within thirty (30) days of the date of the grievance.
- 3-3.4 Commencing July 1, 2012, grievances regarding the district's teacher evaluation plan shall be in writing and filed within ten (10) business days of the alleged act or omission regarding procedure(s) under the evaluation plan and shall recite the specific procedure(s) under the evaluation plan allegedly violated and the specific relief requested
- 3-4 <u>Level One Principal or Immediate Supervisor</u>
- 3-4.1 A teacher or group of teachers of the unit with a grievance or dispute shall first discuss it with his/her or their immediate supervisor or principal, either directly or through the representative of the aggrieved, with the objective of resolving the matter informally.
- 3-4.2 If a teacher or group of teachers is not satisfied with the outcome of the informal grievance procedures as defined in the above, then the teacher or teachers shall present his/her or their claim as a written grievance to the principal on form 1.

- 3-4.3 The principal shall, within five (5) days after receipt of the written grievance, render his/her decision in writing to the aggrieved on form 2 in duplicate.
- 3-4.4 One copy of form 2 is to be returned to the principal by the aggrieved within three (3) days with a response indicated.

3-5 <u>Level Two - Superintendent of Schools</u>

- 3-5.1 In the event that the aggrieved is not satisfied with the disposition of his/her grievance at Level One, or in the event that no written decision has been rendered by the principal within seven (7) days after presentation of the written grievance, he/she or his/her representative may file within three (3) days of the decision or within eight (8) days after the formal presentation a written grievance with the Superintendent of Schools on form 1.
- 3-5.2 The superintendent shall represent the administration at this level of the grievance procedure. Within ten (10) days after receipt of the written grievance by the superintendent, the superintendent shall meet with the aggrieved and/or his/her representatives in an effort to resolve it.
- 3-5.3 The superintendent shall, within seven (7) days after the hearing, render his/her decision in writing to the aggrieved on form 3 in duplicate.
- One copy of form 3 is to be returned to the superintendent by the aggrieved within three (3) days with a response indicated.

3-6 Level Three - Board of Education

- 3-6.1 In the event that the aggrieved is not satisfied with the disposition of his/her grievance at Level Two, or in the event no decision has been rendered within ten (10) days after he/she has first met with the superintendent, he/she or his/her representative may file within three (3) days of the decision or within thirteen (13) days after the formal presentation a written grievance, indicating such dissatisfaction, with the Board on form 1.
- 3-6.2 A committee of the Board shall, within ten (10) days after receipt of the appeal, meet with the aggrieved and/or his/her representative for the purpose of resolving the grievance.
- 3-6.3 The Board shall, within thirty (30) days after such meeting, render its decision and the reasons therefor in writing to the aggrieved on form 4 in duplicate.
- 3-6.4 The aggrieved shall return one copy of form 4 to the chairman of the Board within three (3) days with a response indicated.

3-7 Level Four - Arbitration

- 3-7.1 If the aggrieved is not satisfied with the disposition of his/her grievance at Level Three, he/she may, within three (3) days after the decision, or within thirty-six (36) days after the Board meeting, request, in writing on form 1 to the president of the Association that his/her grievance be submitted to arbitration.
- 3-7.2 The Association shall, within five (5) days after receipt of such request, render its determination to the Board and to the aggrieved on form 5 as to whether or not the grievance is meritorious and the reasons therefor.
- 3-7.3 If the grievance is deemed meritorious by the Association, the chairman of the Board and the president of the Association shall, within five (5) days after such written notice, jointly select a single arbitrator who is an experienced and impartial person of recognized competence and indicate such on form 5. If the parties are unable to agree upon an arbitrator within five (5) days, the American Arbitration Association shall immediately be called upon to select the single arbitrator. The Board and the Association shall be bound by the rules and procedures of the American Arbitration Association.
- 3-7.4 The arbitrator selected shall confer promptly with representatives of the Board and the Association, shall review the record of prior hearings, and shall hold such further hearings with the aggrieved and other parties in interest, as he/she shall deem requisite.
- 3-7.5 The arbitrator shall limit himself/herself to the issues submitted and shall consider nothing else. He/she can neither add anything to nor subtract anything from the Agreement between the parties.
- 3-7.6 The arbitrator shall, within ten (10) days after the close of the hearings, render his/her decision in writing to all parties in interest, setting forth his/her findings of fact, reasoning, and conclusion on the issues submitted. The decision of the arbitrator shall be final and binding upon all parties in interest.
- 3-7.7 The costs for the services of the arbitrator shall be borne equally by the Board of Education and the Association.
- 3-8 Rights of Teachers to Representation
- 3-8.1 No reprisals of any kind shall be taken by the Board or by any member of the administration or by the Association or aggrieved against anyone by reason of participation in the grievance procedure or support of any participant thereto.
- 3-8.2 Representation at any level of the grievance procedure shall be limited to the grievant and/or an authorized Association representative, except that only the Association may present a grievance at Arbitration.
- 3-9 <u>Miscellaneous</u>

- 3-9.1 All documents, communications, and records dealing with the processing of a grievance shall be filed separately from the personnel files of the participants.
- 3-9.2 Copies of the forms to be used in processing a grievance and for reporting decisions and recommendations shall be made available by the superintendent and the chairman of the Professional Rights and Responsibilities Committee of the Association.

ARTICLE 4 TERMINATION AND RECALL PROCEDURES

4-1 . General Statement of Policy

It is recognized that under state law the Board has the responsibility to maintain good public elementary and secondary schools and to implement the educational interests of the State. However, recognizing also that it may become necessary to eliminate professional staff positions in certain circumstances, this policy is adopted to provide a fair and orderly process should such eliminations become necessary. This article shall not apply to employees holding durational shortage permits. These individuals have no contractual right in reference to layoff and/or recall.

4-2 Procedure

- 4-2.1 The Board may, in the first instance, exercise its right and power to reduce the number of teaching staff positions without determining which teacher contracts will be terminated, if any, or what other staffing changes will be made to effectuate the purpose of position elimination.
- 4-2.2 Prior to commencing action to terminate teacher contracts under this policy, the Board will give due consideration of its ability to effectuate position eliminations and/or reduction in staff by:
 - 1. Voluntary retirements
 - 2. Voluntary resignations
- 4-2.3 If the position of a teacher who has attained tenure status is eliminated by the Board, such teacher will have the right to be placed in an available position for which he or she is certified and qualified. Available positions shall include those held by non-tenured teachers.

- 4-2.4 When the Board votes to eliminate a position in a department or subject area, the following criteria shall apply in determining what teaching contracts, if any, will be subject to termination. The criteria shall be applied sequentially and are:
 - (a) Certification
 - (b) Total continuing paid teaching experience in the school district. Unpaid leaves of less than one school year shall not be considered an interruption of "total continuing paid teaching experience."
 - (c) Performance as determined by written summary evaluation.
 - (d) In the event that the application of the criteria (a) (b) (c) is not sufficient to determine which teacher's contract will be subject to termination, the date of contract signing will be the determining factor.

4-3 Recall Procedure

If the contract of employment of a teacher is terminated because of elimination of teaching positions, the name of that teacher shall be placed on a reappointment list and remain on such list for a period of two (2) years. If a position becomes open during such two (2) year period, teachers shall be recalled in the inverse order of being laid off, provided said teacher is certified and qualified for said position. The teacher will be notified in writing by certified mail, return receipt requested, sent to his/her last known address, at least thirty (30) days prior to the anticipation date of reemployment. In determining whether a teacher is qualified for reappointment, the Board shall consider criteria as set forth in 4-2.4 above. The teacher shall accept or reject the reappointment in writing within ten (10) days of receipt of notice. If the appointment is accepted, the teacher shall receive a written contract of employment within twenty (20) days of receipt of the teacher's reply by the Board. If the teacher rejects the appointment offer or does not respond according to this procedure within ten (10) days, the name of the teacher will be removed from the recall list.

- 4-3.1 The provisions of Article 4-1 and 4-2.1 shall not be subject to the grievance procedure as set forth in Article 3.
- 4-3.2 This article shall not apply to employees holding durational shortage area permits. These individuals have no contractual right in reference to layoff and/or recall.

ARTICLE 5 PROTECTION OF TEACHERS

5-1.1 Teachers shall report immediately in writing to their principal or immediate supervisor and to the superintendent all cases of assault or legal action suffered by them in connection with their employment.

5-1.2 The Board and the Association recognize that "Protection of Teachers" is provided for in Connecticut General Statutes.

ARTICLE 6 USE OF TEACHER VEHICLES

- 6-1 Insurance on Vehicles
- 6-1.1 The Board is protected, under the non-ownership clause of its transportation insurance, against judgment arising from accidents in which a school teacher, using his/her personal vehicle on school business, is involved. The first claim, however, is placed against the owner of the vehicle.
- 6-2 <u>Mileage Reimbursement</u>
- 6-2.1 Mileage reimbursement where authorized by the Board will be at the rate specified by the I.R.S. and adjusted as per date of publication by the I.R.S.

ARTICLE 7 LEAVES

- 7-1 Personal Illness
- 7-1.1 Fifteen days of sick leave per year, at the beginning of each work year, will be granted to each teacher. Unused sick leave will be accumulated to a maximum of one hundred seventy (170) days. If the accumulated sick leave is exceeded, salary deductions thereafter will be at the per diem rate of full salary until employment of the teacher is terminated. Half days which can be covered by administrative staff will not be charged against the teacher. These half days include days where the administrator assigns other teachers to cover classes for the teacher who is ill. This section covers only incidents in which an emergency arises during the workday. This section shall not cover previously scheduled medical appointments. Teachers are expected to provide lesson plans for covering teacher(s). No teacher shall be compensated for providing emergency coverage for a colleague. Preparation periods that are missed in compliance with this section are not subject to the grievance process.
- 7-1.2 Each teacher shall be entitled to two (2) family illness days per year. These days shall be deducted from the teacher's accumulated sick leave.
- 7-1.3 A medical certificate is required for sick leave of any duration if a teacher's absence from duty occurs frequently or habitually and when, in the judgment of the principal, evidence indicates reasonable cause for requiring such a certificate.

- 7-1.4 The Board agrees that the first thirty (30) school days of any approved leave given onthe-job illness or injury arising out of an assault or accident covered by Workers' Compensation will not be counted as sick leave. A teacher receiving Workers' Compensation pay shall receive a rate of pay equal to the difference between his/her earned salary and Workers' Compensation pay for the first thirty (30) days of the teacher's leave, unless greater coverage is required by law. Beyond the first thirty (30) days of the teacher's leave, except in cases covered by Connecticut General Statutes Section 10-236a, the teacher may use accumulated sick leave up to sixty (60) days on a pro-rated basis to supplement his/her Workers' Compensation temporary disability benefits so that the teacher receives full pay.
- 7-1.5 After accumulated sick leave has been exhausted a leave of absence may be granted without pay to the end of the school year for extended illness, injury or disability (including disability arising out of pregnancy) occurring during the summer or within the school year. However, determination should be made by June 15 as to whether the teacher will be able to return to full-duty status the following September or whether a replacement should be hired. In the event of full recovery after a replacement has been hired, the teacher will be given special consideration when there is a vacancy in an equivalent position.
 - a) All insurance, retirement, and other teacher benefits shall continue in force for any teacher on leave without pay, provided that the teacher pays all premiums, contributions, and other costs requisite to keep such benefits in force during such period.

7-2 Family Illness

7-2.1 Where the teacher's presence is required, up to three days leave per year, non-cumulative, will be granted by the principal for illness of a teacher's child, spouse, spouse's parent, parent or dependent. Section 7-2.1 also applies to partners in a legally recognized civil union.

7-3 Bereavement

7-3.1 Up to five days leave at any one time, non-cumulative, will be granted by the principal for death in the immediate family - here defined as husband, wife, either spouse's parent or legal guardian, grandparent, grandchild, child, sister, brother, or other relative/dependent living within the teacher's household. Section 7-3.1 also applies to partners in a legally recognized civil union.

7-4 Personal Leave

- 7-4.1 When arrangements cannot be made by the teacher for a time outside of the regular school day, each teacher is entitled to two (2) days personal business leave per year, non-cumulative, with full pay. Such leave shall be granted the day before or the day after a holiday or vacation period provided the teacher submits a specific statement of the reason for the leave and the Superintendent or his/her designee approves the request.
- 7-4.2 In the case of religious holidays, up to three (3) days per year may be granted with no resulting loss in personal days.
- 7-4.3 Notification of personal or religious leave must be made in writing at least five (5) school days in advance, except in emergencies which prevent such advance notice.
- 7-4.4 Section 7-4.1 also applies to personal affairs involving partners in a legally recognized civil union that cannot be conducted outside of the regular school day.

7-5 Sabbatical Leave

- 7-5.1 Desiring to reward professional performance and encourage independent research and achievement, the Board hereby initiates the policy of sabbatical leave for teachers for approved scholarly programs whether or not carried on in an academic institution, subject to the following conditions. It is understood that the granting of sabbatical leave is within the discretion of the Board of Education.
 - (a) Not more than one teacher in the Cornwall Consolidated Elementary School shall be absent on sabbatical leave at any one time.
 - (b) Request for sabbatical leave must be received by the superintendent in writing in such form as may be required by the Board no later than December 31 of the year preceding the school year for which the sabbatical leave is requested. The Board shall reply within a thirty (30) day period.
 - (c) The teacher has completed at least seven consecutive full school years of service in the school district.
 - (d) Salary paid to a teacher on sabbatical leave shall be paid at the rate of three-fourths (3/4) of his/her basic salary which would have been in effect had he/she remained in the system, proportionate with the length of the leave.
 - (e) The teacher shall agree to return to employment in the School District for one full year for each one-half year's leave. Upon such return the teacher shall be placed on the appropriate step in the salary schedule as though such teacher had not been on leave.

(f) Basic salary paid teachers on sabbatical leave will be advanced upon a personal note, repayable within two years from completion of the agreed study period if the individual fails to return for the required one or two years. The note which the teacher shall execute shall include the obligation to pay the Board's reasonable attorneys fees in the event the teacher fails to return from sabbatical leave or leaves the Board's employ prior to the expiration of the required one or two-year period. Such note shall be reduced on a pro rata basis and shall be automatically canceled upon completion of the agreed term of post-study employment or upon permanent disability or death of the teacher. For example, ten (10) percent of a one-year obligation or five (5) percent of a two-year obligation will be canceled upon completion of each month of return service.

7-6 Jury Duty

- 7-6.1 If the teacher called for jury duty cannot be excused from such duty on his/her own request or the request of the principal or superintendent of schools, he/she shall receive leave for jury duty.
- 7-6.2 The teacher shall notify the principal upon receipt of a summons to jury duty.
- 7-6.3 Teachers are encouraged to exercise their option to postpone jury service to the summer non-school months, preferably between July 1 and August 15. Teachers shall receive leave for jury duty and be paid in accordance with law. Should jury service continue into the school year, teachers shall receive a rate of pay equal to the difference between his/her earned salary and any jury fee.

7-7 Special Leave Request

7-7.1 Leaves not covered by the preceding sections may be granted by the Board of Education, without pay and without establishing precedents for similar requests, upon timely application from the teacher.

7-8 Maternity

- 7-8.1 An employee who is pregnant shall receive a leave of absence for the reasonable period of physical disability due to childbirth. Such leave shall be treated the same as any other short-term disability, and shall be with pay to the extent of accumulated sick leave. Except in the case of medical difficulties, sick leave is not normally expected to continue for more than six (6) weeks after delivery. Upon her return the teacher shall be assigned to her former position.
- 7-8.2 Forms signed by the teacher's physician indicating commencement and termination of temporary disability due to pregnancy, will be supplied by the superintendent's office.

7-9 Parenting Leave

- 7-9.1 Any tenured teacher in the bargaining unit shall be eligible, upon written request to, and approval of, the Superintendent, which approval will not be unreasonably withheld, for an extended leave for the purpose of parenting. Such leave shall be without pay and fringe benefits and for a period of time of not less than one (1) semester or more than one (1) school year from the date of the beginning of said leave. Any such leave must begin within six (6) years of the birth or adoption of the child for whom the leave is taken. Any parenting leave must be followed by a term of active employment of one calendar year of work upon return before such leave can be taken again. Teachers on parenting leave must notify the Superintendent of their intentions to return to work on or before March 15th, of the leave year or the position will be considered vacant, and will be filled in accordance with Article 14-2.
- 7-9.2 A teacher on such leave shall, if reinstated, be reinstated on the first day of school in the subsequent school year, or as otherwise determined by the Superintendent with Board approval.
- 7-9.3 No benefits (including seniority) shall accrue while any teacher is on said leave but all benefits existing on the commencement of leave shall be restored upon reinstatement. Any such reinstated teacher whose leave exceeded one semester shall be placed on the step of the salary schedule he or she occupied during the last school year worked by the teacher. Any such reinstated teacher whose leave did not exceed one semester, shall be placed on the salary schedule one step higher than that he or she occupied during the last school year worked (unless said leave and said reinstatement occurs in the same school year). No step movement is earned upon reinstatement from parenting leave unless such step movement is included in Appendix A for the respective salary schedule for the subsequent school year.
- 7-9.4 At the end of such leave, the teacher shall be reinstated to a position equivalent to that held at the time the said leave began if such a position exists.
- 7-9.5 Except in emergency situations, a request for such leave shall be made at least sixty (60) days prior to its commencement unless, in the case of an adoption, a teacher receives knowledge of the effective adoption date less than sixty (60) days prior to commencement of leave, in which case such request shall be made upon receipt of such knowledge.
- 7-9.6 Where both parents are covered by this agreement, they shall not be eligible for such leave at the same time.
- 7-9.7 No teacher shall be eligible for more than one such leave per child.
- 7-9.8 Any such teacher may continue to participate in the group medical insurance plans at his or her own expense during the period of such leave.

7-9.9 Section 7-9.1 also applies to partners in a legally recognized civil union.

7-10 Consultant's Leave

7-10.1 Each teacher will, with permission from his/her principal, director of pupil services, and/or superintendent, be allowed two (2) days without loss of pay for the purpose of acting as a consultant within his/her particular area of expertise. The teacher may choose to take these days with or without pay. If the teacher elects to take the day with pay, all consultation fees shall be signed over to the Board.

7-11 Sick Leave Bank

- 7-11.1 Each teacher shall be permitted to contribute three (3) days from his/her sick leave accumulation reserve each school year to a "Sick Leave Bank" which shall be established to aid teachers who suffer prolonged illness and whose sick leave accumulation has been exhausted. The bank shall be built up to a maximum of one hundred and fifty (150) days.
- 7-11.2 A teacher may be permitted, on written application, to draw up to twenty (20) days against the sick leave bank after his/her own accumulated sick leave has been exhausted. If additional leave is needed, the teacher may apply for a second withdrawal of ten (10) days.
- 7-11.3 The following conditions shall apply:
 - (a) Additions to the bank shall be made at the beginning of each school year.
 - (b) A person withdrawing from membership in the bank will not be permitted to withdraw the contribution days.
 - (c) Persons withdrawing sick leave days from the bank will not have to replace these days except as a regular contributing member to the bank.
 - (d) Sick leave shall mean the leave the teacher has for that year plus his/her accumulation.
 - (e) The Bank will be administered by a committee of four (4); two of whom shall be appointed by the Association and two by appointment of the Superintendent.

ARTICLE 8 SCHOOL DAY AND YEAR

- 8-1 The teacher work day shall be seven hours and fifteen minutes.
- 8-2 Should the length of the teacher's work day be increased, teachers shall be compensated at the hourly rate of 1/1295 of their annual salary.
- 8-3 Effective July 1, 2018, the teacher work year shall be 186 days and consist of a total of one hundred eighty (180) student contact days. Four (4) days of the teacher work year beyond the student contact days shall be used as in-service days for the teachers.
- 8-4 Notwithstanding Section 8-3 above, the teacher work year for new teachers in the district shall be 187 work days, effective July 1, 2018. The additional day shall occur in August, before the school year commences.

ARTICLE 9 LUNCH PERIODS

9-1 Teachers shall have a duty-free lunch period of not less than twenty minutes.

ARTICLE 10 CLASS SIZE AND TEACHER LOAD

- Optimum class size for kindergarten and first grade will be eighteen (18) to twenty-two (22) pupils, and for other grades twenty (20) to twenty-five (25). The Board will give consideration to splitting classes when enrollment begins to move appreciably beyond these optimum sizes.
- All teachers shall have an average of one (1) preparation and planning period per day equal in length to the amount of time allotted for specialist instruction in art, music, and physical education, computer and library, as long as these special instruction classes are offered.

ARTICLE 11

MEETINGS

11-1 A schedule of local faculty meetings will be developed in September of each school year. These will be arranged for a given day of the week. They will generally be held monthly and not more frequently than twice monthly. A predetermined time for beginning and ending such meetings will be established. General information items not requiring discussion will be distributed via faculty bulletins.

ARTICLE 12 DETERMINATION OF PAY INCREASE STATUS

The Board reserves the right to withhold a pay increase in cases where service is deemed less than satisfactory. A decision to withhold such increase will be made by the Superintendent and shall be communicated to the teacher no later than April 1. Said action shall be based upon written evidence presented by the Superintendent to the teacher and the Principal. This evidence shall indicate that attempts have been made by supervisory and administrative personnel to aid the teacher in correcting the reported inadequacies. These efforts to help the teacher must have been made during the period commencing April 1 of the previous school year and ending March of the current school year. After one year of satisfactory service, as determined by the evaluation plan, the teacher will be placed on the step of the salary schedule appropriate with his/her education and length of service.

ARTICLE 13 JUST CAUSE

No teacher shall be demoted, disciplined, or reduced in pay or benefits without just cause. This article shall not apply to the non-reappointment of a teacher solely holding a durational shortage area permit.

ARTICLE 14 ASSIGNMENT AND TRANSFER

Assignment of teachers to grades or subject areas is the responsibility of the school administrators. When change of assignment is contemplated by the administration, the principal or superintendent will discuss the advantages and disadvantages of the move with the teacher or teachers involved prior to making the move. When a teacher desires a change of position within the school, the teacher will apply in writing to the superintendent and principal stating the reasons. If the change is not granted, a letter from the superintendent or principal will be sent to the teacher explaining the reason(s) for not granting the change of position.

Vacancies in certified positions caused by retirement, resignation, death or termination, or newly created positions shall be posted. A copy of the posting notice shall be sent to the president of the Associations at his/her home address. During the school year the notice will be posted for at least ten (10) days prior to the deadline for submitting applications. During the summer recess, notice to the president of the Association shall constitute posting.

ARTICLE 15 PROFESSIONAL GROWTH

- 415-1 Every teacher recognizes a responsibility for continuous effort to keep abreast of the new or developing activities within his/her own field or within the cultural context in which our school functions.
- A variety of planned, Board sponsored activities will be set up in cooperation with the Region One Professional Development and Evaluation Committee. The variety may be broad or narrow, depending upon the needs of the school. These may include subject or grade level study groups, curriculum committees, classes within the adult education program, local school study groups, university courses, approved individual work or independent study single session meetings, demonstrations or workshops, and trips or visits within or outside of the Region.
- Reimbursement for approved out-of-Region visits or trips will be in full with mileage reimbursement at the rate specified by the I.R.S. and adjusted as per date of publication by the I.R.S.
- 15-4 Assigned summer or vacation paid duties excepting summer school teaching.
- When it is specifically in the interest of the educational program to have an individual teacher do special work or take special training at Board expense, such assignment will be initiated by the superintendent of schools and paid at the rate of \$35 dollars per hour in 2014-2015, and a rate of \$36 dollars per hour commencing in the 2015-2016 work year. No teacher can be required to accept such summer or vacation assignment even though compensation is offered. Any such assignment acceptable to a teacher must have Board approval.
- 15-6 Leave for Professional Purposes
- Out-of-district visits or professional meetings may be initiated by the teacher or the administration. One (1) day trips must be approved by the principal. Trips of two (2) or more days must have prior approval by the superintendent, and programs of three (3) or more days duration must have approval by the Board of Education as well.
- Reimbursement for the costs of leave for professional purposes will be at the rate of one hundred (100) percent of the total cost submitted by the teacher unless the teacher elects to incur the expenses.

ARTICLE 16 BOARD OF EDUCATION FUNCTIONS

16-1 The Board retains all rights as prescribed by law subject only to the limitations imposed by the language of this Agreement.

ARTICLE 17 PERFORMANCE

17-1 It is understood and agreed that teachers shall continue to serve under the direction of the Superintendent of Schools and in accordance with Board and administrative policies, rules, and regulations, provided that the language of the Agreement shall supersede and prevail over any conflicting provisions.

ARTICLE 18 SEVERANCE

- 18-1 Severance Allowance
- 18-1.1 Except in the case where a teacher is terminated for cause the Board agrees to pay a severance allowance of fifteen percent (15%) of the teacher's basic salary provided that the teacher has spent at least twenty (20) years in the district. Teachers must notify the Superintendent by February 1st of the year they intend to retire.
- 18-1.2 In the event of the teacher's death prior to retirement, the allowance will be paid to (1) the surviving spouse or (2) other designated beneficiaries.

ARTICLE 19 <u>SALARY CHECKS AND DEDUCTIONS</u>

- 19-1 The Board will determine the intervals for salary payments, taking into account the requirements imposed by its fiscal year and the workload of its secretary or clerk.

 Requests for change of interval or date of payment will be considered only if presented in writing and based upon a recorded vote of two-thirds of the teaching staff.
- In the event a teacher leaves the employ of the Board before the end of the school year, a salary adjustment would be computed at the per diem rate of earned salary.
- 19-3 A teacher may, on written request, have deductions made from his/her salary for any of the approved list set forth in Appendix C.

19-4 Service Fee

- 19-4.1 Conditions of Employment. All teachers employed by the Board shall, as a condition of employment, join the Association or pay a service fee to the Association. Said service fee shall be not greater than the amount uniformly required of members of the Association which represents the costs of collective bargaining, contract administration and grievance adjustment.
- 19-4.2 Deductions. The Board agrees to deduct from each teacher an amount equal to the Association membership dues or service fee by means of payroll deduction. Association membership dues or service fee will be deducted in twenty (20) equal installments beginning with the first paycheck in September. The amount of Association membership dues and service fee shall be certified by the Association to the Board prior to the opening of each school year.
- 19-4.3 Subsequent Employment. Those teachers whose employment commences after the start of the school year shall pay a prorated amount equal to the percentage of the remaining school year.
- 19-4.4 Forwarding of Monies. The Board agrees to forward to the Association each month a check for the full amount of money deducted during that month. The Board shall include with such check a list of teachers from whom said deductions were made.
- 19-4.5 Save Harmless. The Association agrees to indemnify, defend and hold the Board harmless against all liability, fees and costs, which may arise by reason of any action taken by the Board in compliance with the provisions of this section. The Association is free to hire counsel of its choice.
- 19-4.6 The singular reference to the Association herein shall be interpreted as referring to the Cornwall Consolidated Faculty Association, Northwest Connecticut Education Association, the Connecticut Education Association and the National Education Association.

ARTICLE 20 FORMS AND DEFINITIONS

- Prior to the end of the school year, each teacher shall receive notice of his/her placement on the salary schedule for the following year based upon information in the file at that time.
- The term "per diem rate" as used in this Agreement shall mean the teacher's salary divided by the number of days in that teacher's contracted school year.
- The term "teacher's salary" as used in this Agreement is specified as follows:
- 20-3.1 Basic salary refers to the salary specified in Appendix A.

- 20-3.2 Full salary refers to the "basic salary" plus monies paid under Appendix B.
- 20-3.3 Earned salary refers to either "basic" or "full" accrued salary, to depend upon whether the duties under Appendix B have been performed.

ARTICLE 21 PLACEMENT ON SALARY SCHEDULE

- New teachers will be placed on the salary schedule in accordance with their training and prior experience as outlined below:
- 21-1.1 New teachers will be placed on the salary schedule at or above BA step 1. Up to full salary credit for prior experience may be granted according to the current salary schedule after evaluation by the superintendent in conference with the candidate. No teacher will be placed higher on the salary schedule than previous experience would warrant.
- 21-1.2 Equivalency of prior relevant non-teaching experience may be worked out by a candidate and the superintendent subject to approval of the employing Board of Education.
- 21-1.3 Evaluation of previous experience and the granting of less than full service credit may be in order when there has been an absence from teaching service for more than five years; when prior service was under other than public school auspices; or when a retired teacher is returning for temporary service. Such evaluation will be done by the superintendent in conference with the candidate and will be embodied in the superintendent's recommendation to the Board.
- Up to two years' salary credit will be granted for full time, active military service or for active Peace Corps service on a year-for-year basis.
- 21-3 The following definitions will apply to training status:
- 21-3.1 Bachelor a baccalaureate degree earned at an accredited college or university.
- 21-3.2 Master a master's degree earned at an accredited college or university; or a full year of study within an approved doctoral program (as the preparing institution defines the equivalent of a full year of study but not less than twenty-four (24) credit hours of study);
- 21-3.3 Sixth Year the sixth year may be evidenced by a Professional Diploma or Certificate awarded by an approved institution within a two-year post master's program:

- (a) or a second one-year master's degree which is relevant to the teaching assignment;
- (b) or the sixth year may be a year's study (as the preparing institution defined the equivalent of a full year of study) taken within an approved doctoral program in which an individual who has completed the master's degree is fully matriculated.
- 21-3.4 Teachers presently employed on the sixth year schedule will retain their position on such schedule. Teachers covered by this contract who have earned doctorate degrees shall be placed on the appropriate step on the Doctorate Schedule.
- 21-3.5 Changes in degree status will occur in September or February. Teachers who anticipate changes in degree status must notify the school administrator by February 1st of the preceding year and must declare whether the change will take place in September or February. In order to obtain the degree change, such teachers must provide the school administrator with an official transcript verifying the degree status before August 31 for a September change and before January 31 for a February change. Degree status change will not take place without the submission of such verification and the late submission of such verification will cause the degree change to take place at the next change time. That is, a late September submission would become effective the following February.

ARTICLE 22 INSURANCE

22-1 Health Insurance

Effective July 1, 2018, each teacher shall have the annual option to participate in the Connecticut State Partnership Plan 2.0 (SPP) or to waive medical insurance. The plan benefits shall be as set forth in the SPP effective on July 1, 2018 including any subsequent amendments or modifications made to the SPP by the State and its employee representatives (see Appendix F, labeled "Partnership Plan 2.0 benefits summary). The administration of the SPP, including open enrollment, beneficiary eligibility and changes, and other administration provisions shall be as established by the SPP.

a. All new teachers hired after 6/30/06 who work less than 1.0 FTE for the Board, but equal to or greater than .5 FTE, will pay a proportionate amount for their insurance that is based on their FTE differential. For example, a .5 FTE teacher would pay 50% for his/her insurance, and a .8 FTE teacher would pay 20% for his/her insurance. At no time, however, during the life of this Agreement shall a teacher who works less than full time for the Board pay a lower percentage for his/her insurance than 1.0 FTE teachers.

(i) The premium rates shall be set by the SPP. Based on such rates, the Board and the Association shall agree on a methodology to establish a blended rate to provide the same rate to active and retired teachers in accordance with statute.

2018-19	The percentage share of such premium cost for teachers shall be seventeen and one half percent (17.5%).
2019-20	The percentage share of such premium cost for teachers

shall be eighteen and one half percent (18.5%).

Reopener contract negotiations shall occur if:

- (a) the SPP in its current form is no longer available; or if the benefit plan design of the SPP is modified as a result of a change in the State's collective bargaining agreement with SEBAC, and if such modifications would substantially increase the cost of the medical insurance plan offered herein.
- (b) If Conn. Gen. Stat. Section 3-123rrr et seq. is amended, or if there are any changes to the administration of the SPP, or if additional fees and/or charges for the SPP are imposed so as to affect the Board, any of which amendments, changes, fees or charges (individually or collectively) would substantially increase the cost of the medical insurance plan offered herein.

Reopener negotiations shall be limited to health insurance plan design and funding, premium cost share and/or introduction of an additional optional health insurance plan.

In any reopener negotiations as well as negotiations for a successor to this collective bargaining agreement, the parties shall consider the plan set forth in Article 22 of the 2014-2018 collective bargaining agreement to be the baseline for such negotiations, and the parties shall consider the following additional factors:

- Trends in health insurance plan design outside of the SPP;
- The costs of different plan designs, including a high deductible health plan structure and a PPO plan structure.

Should such negotiations be submitted to arbitration for resolution, the arbitration panel shall consider the foregoing in applying the statutory criteria in making its ruling.

22-1.3 The dental insurance plan offered to teachers shall be the Connecticut State Partnership Plan (as administered by Cigna – Option #5, the Unlimited Maximum Plan), with benefits outlined in the attached (see Appendix G, labeled "Partnership Plan 2.0 Dental).

Premium cost sharing for this plan for eligible teachers shall be 19.0% for 2018-2019, and 19.5% for 2019-2020.

The Board shall continue to offer a vision rider to help defray the cost of eyeglasses and contact lenses, premium paid 100% by the employee.

22-2 Section 125 Plan

The Cornwall Board of Education shall continue to maintain a Section 125 pre-tax 22-2.1 wage deduction plan in accordance with applicable provisions of Section 125 of the Internal Revenue Code (and in accordance with any amendments to said provisions) so long as said provisions allow for such a plan. Said plan will be designed to permit exclusion from taxable income of the employees' share of health insurance premiums, allowable medical expenses and dependent care pursuant to IRS regulations for those employees who complete and sign the appropriate wage deduction form. The Cornwall Board of Education shall incur no obligation to engage in any form of impact bargaining in the event that a change in law reduces or eliminates the tax-exempt status of the employee insurance premium contributions, allowable medical expenses and dependent care. Neither the Association nor any employee covered by this Agreement shall make any claim or demands nor maintain any action against the school district or any of its members or agents for taxes, penalties, interests or other costs or loss arising from the use of the wage deduction form or from a change in law that may reduce or eliminate the employee tax benefits to be derived from this plan. Further, the parties agree that the health insurance benefits and the administration of those benefits shall continue to be governed by the collective bargaining agreement and the carriers' insurance plan.

22-3 Life Insurance

- 22-3.1 The Board shall provide a fifty thousand dollars (\$50,000) term life insurance policy for the individual, 100% paid by the Board.
- The Board may substitute any insurance carrier for any insurance carrier specifically named in this Agreement so long as the new insurance coverage provides an overall level of benefits that remains substantially equivalent to or better than the current insurance coverage. The "substantially equivalent to or better than" standard shall be applied on program-wide analysis, including the network, and shall not be benefit specific.

At least sixty (60) days prior to changing insurance carriers, the Board or its designee shall notify the Association in writing. Upon request, the parties shall meet to discuss the proposed change. Should the Association and the Board disagree that the changes proposed would provide substantially equivalent coverage, when viewed in total, the disagreement shall be subject to impartial arbitration before a mutually agreeable member of the American Arbitration Association. The Board will not change to the new insurance carrier until the Arbitrator's decision has been issued in writing.

ARTICLE 23 SUBSTITUTES

- 23-1 This article will not pertain to emergency situations, which arise during the school day or to days or half days for which a substitute is paid.
- 23-2 In the event that a teacher is required to cover a class or portion of a class for a teacher who is absent for an entire day, said teacher will be compensated at a rate proportional to the amount of time spent in substitution, based on a substitute teacher's daily rate of pay.

ARTICLE 24 TUITION REIMBURSEMENT

- Teachers who successfully complete graduate level courses in a program of courses approved by the superintendent of schools shall be reimbursed at a rate equal to fifty percent (50%) of the cost of a credit hour at a Connecticut state university up to a maximum of six (6) credit hours annually.
- Reimbursement shall be made within thirty (30) days of the date that the teacher submits to the Superintendent the college receipt for the payment together with written evidence from the college that the teacher has satisfactorily completed the course with a grade of "B" or better.
- To be eligible for reimbursement, the teacher must have prior approval of such graduate level courses from the Superintendent or his/her designee.
- Decisions of the Superintendent to approve or disapprove course reimbursement shall not be subject to the grievance procedure set forth in Article 3 of this Agreement.

ARTICLE 25 DURATION

The provisions of this agreement shall be effective as of July 1, 2018 and shall remain in full force and effect and shall bind and inure to the benefits of the parties hereto and their successors, to and including June 30, 2020.

IN WITNESS HERETO, each of the parties hereunto has caused these presents to be executed by its proper officer, hereunto duly authorized, and its seal affixed hereto as of the date and year first above written.

Cornwall Board of Education, Chair

Cornwall Consolidated Faculty Association, President

ica Simonette Shows

11/29/17 Date

11/27/17 Date

APPENDIX A-1

2018-2019 SALARY SCHEDULE

STEPS	BA	MA	6 ^{ти} YR	PHD
1	43,684	48,302	49,599	54,015
2	45,215	50,456	51,868	56,573
3	46,804	52,837	54,261	59,256
4	48,451	55,335	56,909	62,358
5	50,160	57,958	59,933	65,629
6	52,051	60,716	62,958	69,083
7	54,021	63,606	66,799	72,724
8	55,839	67,619	70,640	75,895
9	59,814	71,278	75,257	80,073
10	64,034	75,228	80,178	84,578
11	67,981	79,909	85,183	89,870
12	71,797	84,438	90,027	94,996

All teachers not at maximum in the 2017-2018 school year shall remain on the same step number in the 2018-2019 school year.

APPENDIX A-2

2019-2020 SALARY SCHEDULE

STEPS	BA	MA	$6^{TH} YR$	PHD
1	43,867	48,505	49,807	54,242
2	45,405	50,667	52,086	56,810
3	47,001	53,059	54,489	59,504
4	48,654	55,568	57,148	62,620
5	50,371	58,201	60,184	65,905
6	52,270	60,970	63,223	69,373
7	54,248	63,873	67,079	73,029
8	56,073	67,902	70,937	76,214
9	60,065	71,578	75,573	80,409
10	64,303	75,544	80,514	84,933
11	68,266	80,244	85,540	90,248
12	72,171	84,877	90,496	95,490

All teachers not at maximum in the 2018-2019 school year shall move to the next highest step number for the 2019-2020 school year.

APPENDIX B

EXTRA PAY FOR EXTRA DUTY

1. Coaches shall be paid by the sports season as follows:

	2018-2019	2019-2020
	(1.5%)	(1.5%)_
Soccer	\$2,104	\$2,136
Basketball	\$2,104	\$2,136
Baseball and Softball	\$2,104	\$2,136

2. Directors of non-sports activities approved by the principal and the Board will be paid at the rate of:

<u>2018-2019</u>	<u>2019-2020</u>
(1.5%)	(1.5%)_
\$26.78	\$27.18

3. Certified personnel within the local school will be given first consideration for all extra duty positions.*

4.

	2018-2019	2019-2020
	<u>(1.5%)</u>	(1.5%)_
Coordinator – 8th Grade Teacher*	\$1,541	\$1,564
Theater Director(s) **	\$2,201	\$2,234
Musical Director	\$586	\$594
Yearbook Advisor	\$442	\$448
Our Song Editor	\$442	\$448
Outdoor Ed Coordinator	\$296	\$301
Student Council Advisor(s) *	\$514	\$521
Instructional Coach***	\$1,200	\$1,200

^{* -} Divided among 2 teachers in 2014-2018 work years.

• TEAM Mentors – Mentors are expected to work with new teachers in the TEAM program for two consecutive years. TEAM Mentors will receive an annual stipend per mentee at the completion of each year of service. The stipend shall equal the following regardless of whether state funding for this program is reduced or withdrawn.

 No new paying programs under Extra Pay for Extra Duty may be instituted without prior local Board of Education approval.

^{** -} Divided among 3 teachers in 2014-2018 work years.

^{*** -} Annual compensation of stipend or additional preparation period time to be determined by mutual agreement between Principal and Teacher.

APPENDIX C

SALARY DEDUCTIONS

A staff member may, on written request, have deductions made from his/her salary for any of the approved list below:

CT Teachers' Retirement Fund (pension)
Cornwall Consolidated Faculty Association (professional dues)
Connecticut Education Association (professional dues)
National Education Association (professional dues)
First Bristol Federal Credit Union

CORNWALL TAX SHELTERED ANNUITIES

ING AXA Equitable Metlife Vanguard Fidelity

 $\frac{FORMAL\ GRIEVANCE\ PRESENTATION}{\text{(Form 1 - To be completed by aggrieved person - type or print)}}$

Aggrieved Person: _				te of Formal Presentation:	
Home Address:				School:	
-			of Grievance upplicable level)		
	□ One	□ Two	☐ Three	□ Four	
Statement of Grievance:					
Action Requested:					
			_		
Signature of Agg	grieved				Date
Signature and	formal date of grievand	ce at the applicable	e level		
One:					
	Signature	Date		Signature	Date
1 W.O.	Sionature	Date	Four:	Signatura	Data

DECISION OF PRINCIPAL

(Form 2 - To be completed by principal or administrator within 5 days of formal grievance - type or print)

Aggrieved Person:	Date of Formal Grievance:
School:	Principal or Administrator:
Decision of Principal or Administrator:	
_	
Date of Decision	Signature of Principal or Administrator
	AGGRIEVED PERSON'S RESPONSE (To be completed by aggrieved within 3 days of decision)
	I accept the above decision and a grievance no longer exists.
	I hereby appeal to the superintendent of schools for a review of this grievance.
Date of Response	Signature of Aggrieved

DECISION OF SUPERINTENDENT

(Form 3 - To be completed by the superintendent or his/her designate within 7 days after hearing with aggrieved or his/her representative; hearing to be held within 10 days after receipt of appeal – type or print)

Aggrieved Person:		Date of Formal Grievance Presentation:	
Date of Hearing Held by Superintendent			
Decision of Superintendent: _	•		
_			
_			
Date of Decision			Signature of Superintendent
	AGGRIEVED PERSON'S (To be completed by aggrieved within	S RESPONSE n 3 days of decision)	
	I accept the above decision and a grievance n	·	
	I hereby appeal to the Board of Education for	r a review of this griev	ance.
Date of Response			Signature of Aggrieved

REVIEW BY BOARD OF EDUCATION (Form 4 - type or print)

Aggrieved Person: Date	Grievance Presentation: Signature of Aggrieved RESPONSE after Board Hearing with aggrieved or his representative
	Signature of Aggrieved RESPONSE
Date	RESPONSE
Date	RESPONSE
(To be completed by Board of Education within 30 days	10 days after receipt of appeal.)
Date Appeal is Received By Board of Education:	Date Hearing Held By Board of Education:
Decision of the Bd. of Ed. and the Reasons Therefore:	
Date	Signature of Authorized Board Representative
(To be completed by aggriev I accept the above decision and a griev	ne president of the Association and request that this
Date of Response	Signature of Aggrieved

APPENDIX D

DETERMINATION REGARDING ARBITRATION

(Form 5 - To be completed by the Association President and PR&R Committee Chairman within 5 days of receipt of request from aggrieved that grievance be submitted to arbitration - type or print)

	Date of Formal
Aggrieved	Grievance
Person:	Presentation:
Association	Date Request
President:	Received for
	Arbitration:
DET	ERMINATION BY ASSOCIATION
	R Committee, has determined that this grievance is not meritorious and/or not in the best interests of the school system. The grievance therefore is
	R committee, has determined that this grievance is meritorious and that e best interests of the school system. The grievance therefore is hereby
	REASONS THEREFORE
Date of	
Determination:	
	Signature of PR&R Chairman
	Signature of Association President
	ESIGNATION OF ARBITRATOR Deleted by Board Chairman and Association President)
The parties have agreed upon and selected	
to whom the appended grievance is hereby	(name of arbitrator) v submitted
whom the appended give values is nevel	, Suomittou.
Date of Determination:	
	Signature of Association President
	Signature of Board Chairman

APPENDIX E

HEALTH INSURANCE ELECTION FORM

	to June 30,, I had the following health insurance coverage pursuant to en the Cornwall Board of Education ("the Board") and the Cornwall Consolidated
	Individual
	Two-Person
	Family
	No Coverage
Pursuant to Article 22 of the Agreer to June 30,:	ment, I elect the following insurance coverage for the coming year from July 1,
The Conne No coverage	PLAN cticut State Partnership Plan 2.0 described in Article 22-1.1 ge
	COVERAGE
	Individual
	Two-Person
	Family
1	
Date:	
Date:	Print Name of Teacher
	Signature

APPENDIX F

PARTNERSHIP 2.0 SCHEDULE OF BENEFITS (effective 10/1/2017)

GENERAL	IN-NETWORK SERVICES	OUT-OF-NETWORK SERVICES
Annual Deductible	\$350 Individual,\$700 two person, \$1,050 three person, \$1,400 family max	\$300 individual, \$600 two person, \$900 family
Out-of-Network Cost-Share (Coinsurance after meeting Deductible)	Not Applicable	20% of allowable Usual Customary and Reasonable charges plus 100% of billed charges in excess of allowable charges
Maximum out of Pocket Limit (Excluded from calculation: premiums, balance billing, deductibles, out-of-network cost sharing, charges for non-covered services and charges for non-essential services)	S2,000 individual, \$4,000 family	\$2,300 individual, \$4,900 family
Lifetime Maximum	None	None
Person responsible for obtaining Prior Authorization	Participating Provider or Physician	Member
PREVENTIVE SERVICES	Patient Share	Patient Share
Well Child Care:	No Co-pay	Deductible plus Coinsurance
Adult Physical Exams:	No Co-pay	Deductible plus Coinsurance
Preventive Gynecological Visit	No Co-pay	Deductible plus Coinsurance
Mammography	No Co-pay	Deductible plus Coinsurance
Immunizations and Vaccinations Includes those needed for travel	No co-pay	Deductible plus Coinsurance
MEDICAL SERVICES	In Network Patient Share	Out of Network Patient Share
Primary Care Physician	\$0 preferred provider/\$15 Co-pay particpating provider	Deductible plus Coinsurance
Specialist Physician (Includes in-office procedures)	\$15 Co-pay particpating provider (\$0 preferred provider for Allergy & Immunology, Cardiology, Endocrinology, ENT, Gastroenterology, OB-GYN, Ophthalmology, Orthopedic Surgery, Rheumatology, Urology - Applies in CT only)	Deductible plus Coinsurance
Vision exam and Refraction: I exam per calendar year (when performed as part of an exam)	\$15 Co-pay	Deductible plus 50% Coinsurance I exam per calendar year
Routine Hearing Screening: One per calendar year (when performed as part of an exam)	\$15 Co-pay	Deductible plus Coinsurance
Maternity Outpatient (first visit only)	\$15 Co-pay	Deductible plus Coinsurance
MEDICAL SERVICES	In Network Patient Share	Out of Network Patient Share
Outpatient Surgery performed in hospital or licensed ambulatory surgery center (Includes colonoscopy) (Prior Authorization required)	No Co-pay if HEP Compliant*	Deductible plus Coinsurance
Allergy Office Visit/Testing	S0 preferred provider/S15 Co-pay participating	Deductible plus Coinsurance
Allergy Injections Immunotherapy or other therapy treatments	No Co-pay if HEP Compliant*	Deductible plus Coinsurance
Infertility Services Office Visit Outpatient Hospital/Inpatient Hospital	\$15 Co-pay No Co-pay if HEP Compliant*	Deductible plus Coinsurance
Gender Identity Disorder Services Office Visit Outpatient Hospital/Inpatient Hospital	\$15 Co-pay No Co-pay if HEP Compliant*	Deductible plus Coinsurance
Bariatric Surgery Office Visit Outpatient Hospital/ Inpatient Hospital	\$15 Co-pay* No Co-pay	Deductible plus Coinsurance
Sleep Studies—attended (Prior Authorization required)	No Co-pay*	Deductible plus Coinsurance
HOSPITAL SERVICES	In Network Patient Share	Out of Network Patient Share
All Inpatient Admissions including Childbirth (Prior Authorization required)	No Co-pay if HEP Compliant*	Deductible plus Coinsurance

Ancillary Services		
Anculary Services (Prior Authorization required)	No Co-pay if HEP Compliant*	Deductible plus Coinsurance
Specialty Hospital (Prior authorization required) Utilization limit	No Co-pay if HEP Compliant* None	Deductible plus Coinsurance 60 days per covered person per calendar year
Skilled Nursing Facility (Prior authorization required) Utilization limit	No Co-pay if HEP Compliant* None	Deductible plus Coinsurance 60 days per covered person per calendar year
Inpatient Hospice Care (Prior authorization required) Utilization limit	No Co-pay* Noпe	Deductible plus Coinsurance 60 days per covered person per calendar year
EMERGENCY/URGENT CARE SERVICES	In Network Patient Share	Out of Network Patient Share
Emergency Room Treatment Waived if patient Admitted to hospital	\$250 (waived if admitted and waiver form is available)	S250 (waived if admitted and waiver form is available)
Urgent Care Clinic (Out of country urgent care -S15 co-pay)	\$15 Co-pay	Deductible plus Coinsurance
Walk-in Clinic	\$15 Co-pay	Deductible plus Coinsurance
Emergency Ambulance	No Co-pay if HEP Compliant*	No Co-pay*
OTHER HEALTHCARE SERVICES	In Network Patient Share	Out of Network Patient Share
High Cost Radiological & Diagnostic Tests: MRI, MRA, CAT, CTA, PET and SPECT scans (Prior authorization required)	\$0 copay preferred provider/20% for non-preferred provider in Connecticut (\$0 copay outside of Connecticut)	Deductible plus 40% Coinsurance in Connecticut. (Deductible and 20% coinsurance outside of Connecticut).
Diagnostic, Laboratory and X-ray Services	\$0 copay preferred provider/20% for non-preferred provider in Connecticut (\$0 copay outside of Connecticut)	Deductible plus 40% Coinsurance in Connecticut. (Deductible and 20% coinsurance outside of Connecticut).
Radiation Therapy	\$0 copay preferred provider/20% for non-preferred provider in Connecticut (\$0 copay outside of Connecticut)	Deductible plus 40% Coinsurance in Connecticut. (Deductible and 20% coinsurance outside of Connecticut).
Nutritional Counseling Maximum of 3 visits per Covered Person per Calendar Year	No Co-pay if HEP Compliant*	Deductible plus Coinsurance
Private Duty Nursing (Prior Authorization Required)	No Co-pay if HEP Compliant*	Deductible plus Coinsurance
Home Health Care	No Co-pay if HEP Compliantif HEP	Deductible plus Coinsurance 200 visits per calendar year
Utilization Limits	Compliantvisits per calendar year	Deductible plus Coinsurance
In-Home Hospice	No Co-pay if HEP Compliant*	200 visits per calendar year
Acupuncture Limit: 20 visits per calendar year	\$15 Co-pay	Deductible plus Coinsurance
Infusion Therapy Unlimited	No Co-pay if HEP Compliant*	Deductible plus Coinsurance
Surgical Removal of Breast Implant	No Co-pay if HEP Compliant*	Deductible plus Coinsurance
OUTPATIENT REHABILITATION SERVICES	In Network Patient Share	Out of Network Patient Share
Physical or Occupational Therapy Prior Authorization may be required Benefit limit	No Co-pay if HEP Compliant* Unlimited	Deductible plus Coinsurance 30 visits per calendar year
Chiropractic Therapy Benefit Limit	No Co-pay if HEP Compliant* Unlimited	Deductible plus Coinsurance 30 visits per calendur year
Speech therapy: Covered only for treatment resulting from autism, stroke, tumor removal, injury or congenital anomalies of the oropharynx	No Co-pay if HEP Compliant*	Deductible plus Coinsurance 30 visits per Calendar Year
Benefit limit:	Unlimited	
Autism Services: Behavioral, Outpatient, Rehabilitation, Physical, occupational, and speech	No Co-pay if HEP Compliant*	Deductible plus Coinsurance
therapy Cardiac Rehabilitation Therapy	\$0 preferred provider/	Deductible plus Coinsurance
Other Therapy Services: Radiation, Chemotherapy for treatment of cancer, Electroshock, Kidney Dialysis in Hospital or free-standing dialysis center	No Co-pay if HEP Compliant* No Co-pay if HEP Compliant*	Deductible plus Coinsurance

MEDICAL DEVICES/SUPPLIES	In Network Patient Share	Out of Network Patient Share
Home Oxygen Diabetic equipment and supplies	No Co-pay	Deductible plus Coinsurance
Specialized Formula (Prior Authorization required)	No Co-рау	Deductible plus Coinsurance
Wig—Covered only for patient who suffers hair loss as result of chemotherapy)	No Co-pay	No Co-pay
Hearing Aids—Coverage limited to Dependent children 12 years of age or younger. (Limited to one set of hearing aids within a 24 month period) Effective July 1, 2016—Age restriction on Coverage will be removed, benefit limited to one set of hearing aids within a 24 month period (Prior Authorization may be required)	No Co-Pay	Deductible plus Coinsurance
Foot Orthotics	No Co-pay	Deductible plus Coinsurance
Durable Medical Equipment and Prosthetic Devices (Prior Authorization required for items over \$500)	No Co-pay	. Deductible plus Coinsurance
Medical and Ostomy Related Services	No Co-рау	Deductible plus Coinsurance
MENTAL HEALTH & SUBSTANCE ABUSE	In Network Patient Share	Out of Network Patient Share
Outpatient Treatment for Mental Health Care	\$15 Co-pay	Deductible plus Coinsurance
Inpatient Treatment In a Hospital or Residential Freatment Center for Mental Health Care (Prior Authorization required)	No Co-pay if HEP Compliant*	Deductible plus Coinsurance
Outpatient: Substance Abuse	\$15 Co-pay	Deductible plus Coinsurance
npatient Substance Abuse Treatment In a Hospital or Substance Abuse Treatment Facility Prior Authorization required)	No Co-pay if HEP Compliant*	Deductible plus Coinsurance
√	PENALTY	
Penalty for Failure to Obtain Prior Authorization for Covered Services		\$500 or 20% of allowable charges, whichever is less, plus 100% of billed amount in excess of allowable charges

^{*} Copays waived if Health Enhancement Program (HEP) compliant.

The above schedule of benefits is information only. Please refer to the plan documents online for the official benefits and coverages. http://www.osc.ct.gov/ctpartner/

MEMBER RESPONSIBILITIES WHEN OBTAINING HEALTH CARE—PRIOR AUTHORIZATION

1. Services Requiring Prior Authorization

Air Ambulance Bariatric Surgery Chemotherapy Colonoscopy

Durable Medical Equipment over \$500(includes foot orthotics and hearing aids)

Gender Reassignment Surgery

High Cost Diagnostic Imaging (MRI,

MRA, CAT, CTA, PET, SPECT scans) Infertility Treatments Inpatient Non-Emergency Care (includes childbirth)

Inpatient Hospice Inpatient, Mental Health Inpatient, Substance Abuse Treatment Internal & External Prosthetic Devices

Kidney Dialysis Oral Surgery Organ Transplant Orthoptic Exercises

Outpatient Occupational Therapy Outpatient Physical Therapy

Outpatient/ Surgery

Partial Hospitalization (under 12 hours) Mental Health/Substance Abuse

Private Duty Nursing

Skilled Nursing Facility Admission

Sleep Studies Specialized Formula

Specialized Infant Formula Specialty Hospital Admission Specialty Hospital Admission Substance Abuse Residential Treatment

Prior Authorization is performed by in-network provider. Prior authorization is the member's responsibility if out of network.

SCHEDULE OF PRESCRIPTION DRUG BENEFITS eff 10/1/2017

A Member's rights to benefits for Covered Drugs as provided in this Plan Document are subject to the terms and conditions of the agreement between the Plan Sponsor and CVS Caremark.

Active Employee Plans—Co-payments and Cost Shares

Mail order is 90-day refill of Maintenance Medication			
Active Employees	Participating Retail	Maintenance Medications (90 day supply* Required after 1st 30 day fill at retail)	Health Enhancement Program only **Chronic Condition- Related Maintenance Medications At Mail Order
Preferred Generic	\$5.00	\$5.00	\$0.00
Non Preferred Generic	\$10.00	\$10.00	\$0.00
Preferred Brand	\$25.00	\$25.00	\$5.00
Non Preferred Brand	\$40.00	\$40.00	\$12.50
Contraceptives*** Eff. 7/1/2013	\$0	\$0	\$0
Day Supply Limit	30	90	90

^{*} Includes refills obtained at pharmacies or mail order participating in the State of CT Maintenance Drug Network.

Out of pocket Maximum \$4,600 individual/\$9,200 family

RULES APPLICABLE TO ALL PLANS		
Brand Drugs as substitutes for available Generic Drugs (without coverage exception request)	The same co-payment you would pay for a Generic Drug, plus the difference in price between the Generic Drug and the Brand Drug*	
Generic Substitution	Required unless Prescribing Physician submits a Coverage Exception Request, attesting that Brand Drug is Medically Necessary	
Non-Preferred Drug utilization	Higher co-pay required unless Prescribing Physician submits a Coverage Exception Request attesting that Non-Preferred Brand is Medically Necessary	
Prior Authorizations and Other Clinical Programs	Required for Certain Drugs—See Attachment A	
Benefit Period	One Calendar Year	
Diabetes Co-pay	None	

^{**} Asthma/COPD, Heart Failure/Heart Disease, Hyperlipidemia and Hypertension and Diabetes

^{***} Maintenance Medication (single fill only at retail)

^{**} Treated as Maintenance Medication (single fill only at retail)

Chronic Conditions Health Enhancement Program	Co-pays may be waived or reduced for medications to treat: Asthma, Diabetes, COPD, Hyperlipidemia, Hypertension, heart failure or heart disease
Refill Policy	Per Plan Sponsor standard guidelines
Formulary	CVS Caremark Standard Drug List

^{*} If the Co-payment is greater than the Maximum Allowable Amount (see definition below) or the billed charge for the medication, the Member will pay the lower amount.

COVERED BENEFITS

Prescription Drugs

Maintenance Prescription Drugs Certain Preventive Medications

BENEFITS BY PHARMACY TYPE

Participating Retail Pharmacy Benefits

When a Covered Drug is dispensed by a Participating Pharmacy, the Participating Pharmacy will accept the Maximum Allowable Amount and will make no charge to the Member except for any applicable Co-payment or Cost-Share. Payment will be made to the Participating Pharmacy by CVS Caremark, except for Co-payments or Cost-Shares that are payable by Member at the Participating Pharmacy.

Non-Participating Pharmacy Benefits

When a Covered Drug is dispensed by a Non-Participating Retail Pharmacy the Member shall pay for the prescription out-of-pocket, and then will be reimbursed upon submitting a proper claim for reimbursement to CVS Caremark. Reimbursement is only available for Covered Services less any applicable Co-payment or Cost-Share, after review and approval of the claim. Reimbursement is based on the Maximum Allowable Amount (defined below) for Non-Participating Pharmacies. Claims must be filed with CVS Caremark within 2 years after the prescription for the Covered Drug has been filled. The receipt must accompany the claim.

PRESCRIPTION DRUGS REQUIRING PRIOR AUTHORIZATION

When a Covered Drug which requires Prior Authorization is prescribed for a Member, the Member or the Member's representative must call CVS Caremark at 1-800-294-5979, or fax a written request for prior authorization to CVS Caremark, at 1-888-836-0730.

Prescription Drugs with Managed Elements (Subject to change):

Drug Class/ Name	Criteria	
Abstral	Prior Authorization required	
Actemra	Prior Authorization required	-
Actio	Prior Authorization required	
Amerge	Quantity limit: 9 tablets per 30 days	
Amevive	Prior Authorization required	
Axert	Quantity limit: 12 tablets per 30 days	
Caverject	Quantity Limit: 6 units per 30 days	
Cialis	Quantity limit: 6 tablets per 30 days	
Cialis, 5 mg	Prior Authorization required, limited to those diagnosed with	
Cimzia	Prior Authorization required	
Edex	Quantity Limit: 6 units per 30 days	
Enbrel	Prior authorization required	
Fentora	Prior Authorization required	
Frova	Quantity limit: 9 tablets per 30 days	
Genotropin	Prior authorization required (no coverage for ISS)	
Growth Hormones	Prior authorization required (no coverage for ISS)	
Humatrope	Prior authorization required (no coverage for ISS)	

Humira	Prior authorization required	
Imitrex Injection Kits	Quantity limit: 4 kits per 30 days	
Imitrex Nasal inhaler	Quantity limit: 12units per 30 days	
Imitrex tablets	Quantity limit: 9 tablets per 30 days	
Imitrex Vials	Quantity limit: 10 vials (5ml) per 30 days	
Incivek	Prior Authorization required	
Increlex	Prior Authorization required (no coverage for ISS)	
Infergen	Prior Authorization required	
Intron A	Prior Authorization required	
Kineret	Prior authorization required	
Lazanda	Prior Authorization required	
Levitra	Quantity limit: 6 tablets per 30 days	
Maxalt tablet	Quantity limit: 12 tablets per 30 days	
Maxalt-MLT tablets	Quantity limit: 12 tablets per 30 days	
Migranal nasal inhaler	Quantity limit: 1 kit (8 units) per 30 days	
Muse	Quantity Limit: 6 units per 30 days	
Norditropin	Prior authorization required (no coverage for ISS)	
Nutropin/AQ	Prior authorization required (no coverage for ISS)	
Nuvigil	Prior authorization required	
Omnitrope	Prior authorization required (no coverage for ISS)	
Onsolis	Prior Authorization required	
Orencia	Prior Authorization required	
Pegasys	Prior authorization required	
Peg-Intron	Prior authorization required	
Provigil	Prior authorization required	
Relpax	Quantity limit: 12 tablets/ 30 days	
Remicade	Prior Authorization required	
Ribavirin	Prior Authorization required	
Rituxan	Prior Authorization required	
Saizen	Prior authorization required (no coverage for ISS)	
Serostim	Prior authorization required	
Simponi	Prior Authorization required	
Stadol Nasal Spray (available as	Quantity limit: 2 bottle per 30 days; available as generic only	
Staxyn	Quantity Limit: 6 tablets per 30 days	
Stelara	Prior Authorization required	
Subsys	Prior Authorization required	
Sumavel DosePro	Quantity limit: 12 DosePros per 30 days	
Tev-Tropin	Prior authorization required (no coverage for ISS)	
Treximet	Quantity limit: 9 tablets per 30 days	
Viagra	Quantity limit: 6 tablets per 30 days	
Victrelis	Prior Authorization required	
Xyrem	Prior authorization required	
Zomig Nasal Spray	Quantity limit: 12 inhalers per 30 days	
Zomig/Zomig ZMT tablets	Quantity limit: 12 tablets per 30 days	

APPENDIX G

DENTAL PLAN

SPP Option #5, Unlimited Max, No ortho

Annual Deductible	\$0
Annual Maximum	None
Lifetime Orthodontia Max	N/A
Deductible Waived	
Preventive	Yes
Basic	N/A
Major	N/A
Preventative	
X-Ray	100%
Cleanings	100%
Oral Exam	100%
Flouride	80%
Basic	
Fillings	80%
Endodontics	80%
Periodontics	80%/50%
Simple Extractions	80%
Dentures (Repair only)	80%
Bridges (Repair only)	80%
Major	
Crown	67%
Inlays	67%
Onlays	67%
Dentures	0%
Bridges	0%
Space maintainers	67%
Oral Surgery	67%